# PS 121 Analyzing Congress Winter 2016

Prof. Alexander V. Hirsch Baxter 323 OH Tuesday 1-3

This class will introduce you to the study of the US Congress, with a focus on thinking analytically about the determinants of Congressional behavior. Among the factors examined will be the characteristics and incentives of legislators, Congressional elections, rules governing the legislative process and internal organization, separation of powers, and political parties. My hope is that this class will teach you a little bit both about the actual US Congress, and about the social scientific study of the US Congress.

**FORMAT:** Class will be taught as a mixture of lecture, discussion, and in class exercises; with emphasis on lecture. Course readings are listed by week.

### **REQUIRED TEXTS:**

- Davidson, Roger H., Walter J. Oleszek and Frances E. Lee. Congress and Its Members, 14th Edition.
  CQ Press: Washington, DC.
- Stewart, Charles III. 2011. Analyzing Congress. W.W. Norton & Co: NY, NY.

## **READINGS**

The course readings are a mixture of book chapters, academic journal articles, and popular press articles. The most updated information for the schedule and location of each reading (textbooks, web hyperlink, Moodle) is listed on the version of the syllabus in Moodle. I may also add short popular press articles to the readings as we move through the semester.

Note that there is sometimes considerable overlap between the two course textbooks. *Congress and its Members* is more descriptive, while *Analyzing Congress* is more theoretical. Both perspectives are important for giving you a fuller understanding of key course topics, but in general I will not test you on "facts and figures."

Readings marked with [D] are generally descriptive and give the facts and trends associated with the topic. These can be pretty dry, but contain material you need to know to put the analytical material in context. Those with [C] are popular press articles that discuss contemporary happenings in Congress. These are chosen both to give you a general sense of what has been going on "recently" (i.e. the last 3 decades), and to illustrate key concepts in the theoretical readings. They're not just for fun, so don't skip them.

Those with [PS] represent political science articles. These are more interesting, but may use mathematical techniques you are not familiar with. Don't get bogged down in the details of these analyses (which often leave much to be desired anyway); try to extract the gist of the authors' argument and conclusions. But you may want to dig deeper if you are interested in pursuing political science further.

## **GRADING POLICIES:** Grades will be determined as follows.

Participation & Exercises - 15% Take Home Midterm - 35% Take Home Final - 50%

## Participation & Exercises:

Attendance and in-class participation are **mandatory**. I reserve the right to choose this portion of your grade based on your value added to class discussion.

There will be about five take home exercises over the course of the quarter. They will be graded for "on-time completion and honest effort" with either a 0 or a 1 (I may very rarely give a 2 for truly exceptional work). I strongly suggest that you make a serious effort on these, as they are representative of what you can expect on the exams. The grades will be folded into your participation grade. Detailed solutions will be distributed, and we sometimes discuss the solutions in class.

#### Exams

A third to a half of the content of the midterm and final will be formal mathematical problems that resemble the exercises. The remainder will ask you to respond in essay and short answer format, and test your analytical and substantive knowledge of Congress.

The midterm will be a 2-hour timed exam during the midterm period. The final will be a 24-hour take home exam during finals period. The exam policies are as follows.

- Exams are open book, open note, open internet.
- You may not discuss the exam, or course more generally, with anyone from the moment the window opens up to download, until the moment the window closes and all exams are submitted.
- "Discussion" is interpreted broadly to include the sharing of any course material, including lecture notes, reading notes, or problem sets; even if these materials are unaltered from their original form and do not reflect any knowledge of the exam contents.

## Week 1 – Class Introduction

Introduction

- [C] Selection of popular press articles [Moodle]
- [D] Congress and its Members Ch. 2 [textbook]
- [PS] Fenno, R. 1990. "Political Scientists and Journalists," Watching Politicians. pp. 27-54. [Moodle]

# Week 2 – Congressional Elections, in theory and in practice

Politics as a Game and elections "in theory"

[PS] Stewart, Analyzing Congress, pp. 3-49 [textbook]

## Elections "in practice"

- [D] Congress and its Members pp 41-45, 96 100 [textbook]
- [D] Congress Reconsidered (10<sup>th</sup> ed.), Chapter 4 [Moodle]
- [PS] Herron & Bafumi. "Leapfrog Representation and Extremism." APSR 2010 Link
- [PS] Halberstam & Montagnes. "Presidential coattails vs. the median voter." JPubE 2015. Link.

[Be prepared to discuss academic papers]

## Week 3 - Candidates and Legislators, Introduced

Congressional Candidates

- [D] Herrnson, Congressional Elections, "Candidates and Nominations," Ch. 2 [Moodle]
- [D/PS] *Analyzing Congress* Ch 4 [textbook]
- [C] "Why would anyone ever want to run for Congress?" The Atlantic [Moodle]
- [C] "Joe Sestak, the 60<sup>th</sup> Democrat," New York Times [**Moodle**]

### Congressional Incumbents

- [D] Congress and its Members Ch. 5 [textbook]
- [C] "A Day in the Life of US Rep. Cynthia Lummis" (VIDEO) Link.
- [PS] Shively, "The Craft of Political Research," pp. 12-18 [Moodle]

## Week 4 – Theorizing about Legislators

The Electoral Connection, Introduced

[D / PS] Jacobson, The Politics of Congressional Elections, pp. 29-51 [Moodle]

[PS] Mayhew, Congress: The Electoral Connection, pp 1-77 [Moodle]

[No lecture; discussion of Mayhew]

Voters and Legislators as Principals and Agents

[PS] Ashworth, S. "Electoral accountability: recent theoretical and empirical work." ARPS 2012. Link

[PS] Dropp & Peskowitz. 2012. "Electoral Security & Provision of Constituency Services..." JoP Link.

[PS] Snyder & Stromberg. 2010. "Press Coverage and Political Accountability." JPE Link.

[PS] Broockman. 2013. "Black Politicians Are More Intrinsically Motivated..." AJPS Link.

[Be prepared to discuss academic papers]

## Week 5 – What Congress actually does, and how

What Congress does

[D] Congress and Its Members Ch. 14 [textbook]

[D] "Ten things I wish...," Lee Hamilton, 2000 APSA Meeting. [Moodle]

"How a bill becomes a law"

[D] Congress and its Members Ch. 8 (we will cover the details again) [textbook]

MIDTERM – 2 hour take home during midterm period

## Week 6 – Legislative Procedures 1

Midterm Review, Modeling Policymaking and Legislative Procedures Game [In class game]

Scheduling and Floor Procedures in the House, Agenda Power

[PS] Stewart, Analyzing Congress, pp. 361 - 397 [textbook]

[C] Cochran, "The Vote That Never Happened", Inside Congress: A CQ Reader [Moodle]

### Week 7 – Legislative Procedures 2

Scheduling and Floor Procedures in the Senate

[PS] Binder & Smith. 1997. Politics or Principle? Chs 1 & 2 [Moodle]

[PS] Wawro & Schickler. 2007. *Filibuster*. pp 1-17, 44-51, 181-209 [Moodle]

Reform in the House and Senate

[C] Assorted popular press articles on filibuster reform [Moodle]

[D] Gold & Gupta. "The Constitutional Option to Change Senate Rules ..." JLPP 2005 [Moodle]

[No lecture; discussion of reform readings]

# Week 8 – Modeling Separation of Powers, Introduction to Committees

"Pivotal Politics" Lawmaking Model

- [PS] Stewart, Analyzing Congress, pp. 3-49 [textbook, review]
- [PS] Stewart, *Analyzing Congress*, pp. 70 87 [textbook]
- [PS] Brady, David and Craig Volden. 2006. Revolving Gridlock. Chapter 2. [Moodle]
- [D] Stanford GSB case on Health Care Reform [Moodle]

#### Introduction to Committees

- [D] Congress and its Members Ch. 7 [textbook]
- [D] Analyzing Congress, pp. 306-339 [textbook]
- [C] Assorted popular press articles on committee assignments [Moodle]
- (Optional) [PS] Fenno, Congressmen in Committees, Ch 4 p. 81-138 [Moodle]

## Week 9 – Committees, cont.

Explaining agenda power & Distributive Theories

- [D] *Analyzing Congress*, pp. 339-352 [textbook]
- [PS] Krehbiel *Information and Legislative Organization*, Ch. 2 [Moodle] Handout on Distributive Theories of Committee Organization [Moodle]

C

Informational Committee Theories & Game

[PS] Krehbiel *Information and Legislative Organization*, Ch. 3 [**Moodle**] Handout on Informational Theories of Committee Organization [**Moodle**] [In class game]

### **Week 10 – Parties in Congress**

### Introductory Material

- [D] Congress and its Members Ch. 6 [textbook]
- [C] Assorted popular press articles on party whipping [Moodle]
- [C] Assorted popular press articles on *party punishment* [Moodle]

#### Advanced Material

- [D] Analyzing Congress pp. 263-289 [textbook]
- [PS] Cox and McCubbins, Setting the Agenda; Chs. 1 & 2 [Moodle]
- [PS] Krehbiel, "Paradoxes of Parties in Congress" LSQ 1999 Link
- [C] WaPo, "Campaign Bill Heads for a Vote", Jan 25 2002 [Moodle]
- [C] Assorted popular press articles on *fiscal cliff battles* [Moodle]

### FURTHER READING ON SELECTED TOPICS

## The Incumbency Effect

- [PS] Fiorina, Morris P. 1989. "Congress: Keystone of the Washington ..." Ch 1,3,5,6,7
- [PS] Cox & Wright. "Why Did the Incumbency Advantage ... Grow?" AJPS 1996. Link
- [PS] Ansolabehere et al "Old Voters, New Voters,..." AJPS 2000 Link
- [PS] Stone et al "Quality Counts..." AJPS 2004 Link
- [PS] Ashworth & Bueno de Mesquita. "Electoral Selection, Strategic Challenger ..." JoP 2008. Link
- [C] "Safe House: Incumbents Face Worry-Free Election" 5/18/2004 Link

# **Representativeness and Representation**

- [PS] Weisberg, et. al. 1999. Classics in Congressional Politics. pp. 68-82, 87-101
- [PS] Canes-Wrone. Brady and Cogan. "Out of Step, Out of Office." APSR 2002. Link
- [PS] Ansolabehere et al "Candidate Positioning..." AJPS 2001 Link
- [PS] Ansolabahere et. al. Constituents' Responses to Congressional Roll-Call Voting. AJPS 2010. Link
- [C] Giroux "Pursuing the Political Prize of America's Hispanic Vote" 6/29/2002 Link
- [C] Miniter "The McCain Myth" 5/31/2005
- [C] Nagourney "Political Parties Shift Emphasis to Core Voters" New York Times, 9/1/2003 Link

# **Campaign Finance Law & Motives for Giving**

- [D / PS] Analyzing Congress pp. 226 244 [textbook]
- [D] Herrnson, Congressional Elections, "The Campaign for Resources," Ch. 6 [Moodle]
- [PS] Ansolabehere, de Figueiredo, Snyder. "Why is there so Little Money ...?" JEP 2003 Link
- [C] WaPo, "House Rules" June 10 2007 [Moodle]

### **Districting**

- [D] Congress and its Members pp 45-56 [textbook]
- [D/PS] Analyzing Congress pp. 210 226
- [C] Giroux "Remaps Clear Trend: Incumbent Protection" 11/3/2001 Link
- [C] Giroux "For Redistricting Control, the Future is Now" 9/19/2005 Link
- [C] Giroux "New Turf No Blessing for Some Incumbents" 12/8/2001 Link
- [PS] Cain "Assessing the Partisan Effects of Redistricting" APSR 1985 Link
- [PS/C] Monkey Cage "Redistricting didn't win Republicans the House"
- [C] "The House GOP can't be beat"
- [PS] "Does Gerrymandering Cause Polarization?" AJPS 2009 Link
- [PS] "Unintentional Gerrymandering" QJPS 2013 Link
- [PS] Shotts "Does Racial Redistricting Cause Conservative Policy Outcomes?" JOP 2003 Link

#### **Congressional Parties**

- [D] Herrnson, Congressional Elections "The Parties Campaign," Ch. 4 [Moodle]
- [C] Bai "The Inside Agitator," New York Times, 10/1/2006. [Moodle]
- [C] "Vulnerable House Dems Declare Their Independence" [Moodle]

## **Polarization**

[PS] Fiorina. 2006. Culture War. Chs. 1, 2, and 4

[PS] McCarty, Poole, and Rosenthal. 2006. Polarized America Ch. 2.

# Lobbying

[D] Levine, Bertram. 2009. "The Art of Lobbying," Ch. 2, 4, 5

[PS] Kollman, Ken. 1998. "Outside Lobbying," Chs 1 & 2

# **Congress and the Bureaucracy**

- [D] Lowi, Ginsberg, Shepsle, "American Government," Ch. 7
- [D] Congress and its Members, ch 11
- [D / PS] Wilson, Bureaucracy, ch 13
- [PS] Handbook of Political Economy, Ch.14, "Politics, Delegation, & Bureaucracy," Huber and Shipan